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PAPER NUMBER

CONFIRMATION NO. ATTORNEY DOCKET NO. FIRST NAMED INVENTOR APPLICATION NO. FILING DATE Hirotaka Inagaki 09/830,415 08/03/2001 401181 7811 **EXAMINER** 23548 7590 09/13/2006 LEYDIG VOIT & MAYER, LTD COBURN, CORBETT B

700 THIRTEENTH ST. NW SUITE 300 WASHINGTON, DC 20005-3960

3714

DATE MAILED: 09/13/2006

ART UNIT

Please find below and/or attached an Office communication concerning this application or proceeding.

# Advisory Action Before the Filing of an Appeal Brief

Application No.	Applicant(s)	
09/830,415	INAGAKI ET AL.	
Examiner	Art Unit	
Corbett B. Coburn	3714	

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	Corbett B. Coburn	3714		
The MAILING DATE of this communication appears on the cover sheet with the correspondence address				
THE REPLY FILED 31 August 2006 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.  1. The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following				
time periods:  a) The period for reply expiresmonths from the mailing date of the final rejection.  b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.  Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).  Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding arrount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  NOTICE OF APPEAL  2. The Notice of Appeal was filed on A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since				
a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).  AMENDMENTS  3. The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because				
<ul> <li>(a) They raise new issues that would require further co</li> <li>(b) They raise the issue of new matter (see NOTE below)</li> <li>(c) They are not deemed to place the application in beauppeal; and/or</li> <li>(d) They present additional claims without canceling a</li> </ul>	nsideration and/or search (see NO' ow); tter form for appeal by materially re corresponding number of finally rej	TE below); ducing or simplifying t		
NOTE: (See 37 CFR 1.116 and 41.33(a)).  4. The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).  5. Applicant's reply has overcome the following rejection(s):				
<ul> <li>6. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).</li> <li>7. For purposes of appeal, the proposed amendment(s): a) will not be entered, or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.  The status of the claim(s) is (or will be) as follows: Claim(s) allowed: Claim(s) objected to:</li> </ul>				
Claim(s) rejected: Claim(s) withdrawn from consideration:  AFFIDAVIT OR OTHER EVIDENCE				
<ol> <li>The affidavit or other evidence filed after a final action, but because applicant failed to provide a showing of good an was not earlier presented. See 37 CFR 1.116(e).</li> </ol>	d sufficient reasons why the affidav	it or other evidence is	necessary and	
9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).				
<ul> <li>10. ☐ The affidavit or other evidence is entered. An explanatio REQUEST FOR RECONSIDERATION/OTHER</li> <li>11. ☒ The request for reconsideration has been considered but the request for reconsideration has been considered.</li> </ul>			i	
See attached.  12. Note the attached Information Disclosure Statement(s).  13. Other:			1/2	
	(	Corbett BCONBET Primary Examine Art Unit: 37 1 MAR	T B. COBURN Y EXAMINED	

U.S. Patent and Trademark Office PTOL-303 (Rev. 08-06) Application/Control Number: 09/830,415 Page 2

Art Unit: 3714

#### **DETAILED ACTION**

### Pending Claims

- 1. Examiner regrets any administrative errors in listing pending claims on the Office Action Summary. As noted in Applicant's reply, claims 1, 2, 7-9, 11-15, 17-19, 22-25, 27-33, and 35-44 are pending in the case. Examiner assures Applicant that the claimed subject matter has been thoroughly considered and thoroughly examined.
- 2. Be that as it may, Examiner must point out that Applicant's current listing of the pending claims does not comply with the rules that clearly state that the claim numbers for cancelled claims must appear in the listing of the claims with the annotation "(Cancelled)".

### Response to Arguments

- 3. Applicant's arguments filed 31 August 2006 have been fully considered but they are not persuasive.
- 4. Applicant argues that Durham teaches choosing between eight displays that are visually identical on page 9 of his arguments. This is not how Durham works.
- 5. Durham teaches choosing between eight different displays that provide the same payout. These displays might be "lemon cherry cherry", "cherry lemon cherry", "cherry orange cherry", etc. They add to the apparent randomness because they are visually distinct. This is essentially what Applicant's invention does. Applicant randomly selects a result (e.g., reach), and then randomly selects one of a plurality of indicators (i.e., display) that corresponds to the result. (See Applicant's Fig 20)
- 6. Applicant states that Durham does not use random numbers that are generated in anything like production group determination tables or production pattern determination tables.

Application/Control Number: 09/830,415

Art Unit: 3714

This "argument" is based on Applicant's misconstruction of Durham. Durham's first random number is used to locate a result in a structure that corresponds exactly to Applicant's production

Page 3

group determination tables. Once it selects an entry from that table, it then generates a second

random number that chooses from a table containing a plurality of images to display - precisely

like Applicant's production pattern determination tables.

7. Applicant argues that Durham's determination of payout is not the same as Applicant's

game state. It is precisely analogous. Applicant's game state is the determination of a particular

situation on the machine that is analogous to a prize or payout.

8. Applicant's argument that there is no motivation to combine Ugawa & Durham is based

on Applicant's misconstruction of the Durham reference.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Corbett B. Coburn whose telephone number is (571) 272-4447. The examiner can normally be reached on 8-5:30, Monday-Friday, alternate Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Olszewski can be reached on (571) 272-6788. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 09/830,415

Art Unit: 3714

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

CORBETT B. CODUMN PRIMARY EXAMINER Page 4

Corbett B. Coburn Primary Examiner Art Unit 3714